



INVESTOR IN PEOPLE

The Patent Office
Concept House
Cardiff Road
Newport
South Wales
NP10 8QQ

CERTIFIED COPY OF PRIORITY DOCUMENT

I, the undersigned, being an officer duly authorised in accordance with Section 74(1) and (4) of the Deregulation & Contracting Out Act 1994, to sign and issue certificates on behalf of the Comptroller-General, hereby certify that annexed hereto is a true copy of the documents as originally filed in connection with the patent application identified therein.

In accordance with the Patents (Companies Re-registration) Rules 1982, if a company named in this certificate and any accompanying documents has re-registered under the Companies Act 1980 with the same name as that with which it was registered immediately before re-registration have for the substitution as, or inclusion as, the last part of the name of the words "public limited company" or their equivalents in Welsh, references to the name of the company in this certificate and any accompanying documents shall be treated as references to the name with which it is so registered.

In accordance with the rules, the words "public limited company" may be replaced by p.l.c., plc, C. or PLC.

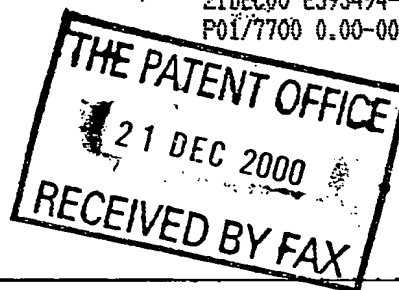
Re-registration under the Companies Act does not constitute a new legal entity but merely subjects the company to certain additional company law rules.

Signed

Dated 11 December 2001

Best Available Copy

THIS PAGE BLANK (USPTO)

Patents Act 1977
(Rule 16)Patent
Office21DEC00 E593494-1 C68900
P01/7700 0.00-0031251.2

The Patent Office

Cardiff Road
Newport
Gwent NP9 1RH**Request for grant of a patent**

(See the notes on the back of this form. You can also get an explanatory leaflet from the Patent Office to help you fill in this form)

1. Your reference

BR 8669

2. Patent application number

(The Patent Office will fill in this part)

0031251.2

21 DEC 2000

3. Full name, address and postcode of the or of each applicant (underline all surnames)

EM HART INC.

DRUMMOND PLAZA OFFICE PARK

1423 KIRKWOOD HIGHWAY

NEWARK, DELAWARE 19711, USA

Patents ADP number (if you know it)

If the applicant is a corporate body, give the country/state of its incorporation

DELAWARE, USA

7970411001

4. Title of the invention

BLIND RIVET

5. Name of your agent (if you have one)

IAN BELL

"Address for service" in the United Kingdom to which all correspondence should be sent (including the postcode)

PATENT DEPT.
BLACK & DECKER
210 BATH ROAD
SLOUGH
SL1 3YD

7457682002

Patents ADP number (if you know it)

6. If you are declaring priority from one or more earlier patent applications, give the country and the date of filing of the or of each of these earlier applications and (if you know it) the or each application number

Country

Priority application number
(if you know it)Date of filing
(day / month / year)

7. If this application is divided or otherwise derived from an earlier UK application, give the number and the filing date of the earlier application

Number of earlier application

Date of filing
(day / month / year)

8. Is a statement of inventorship and of right to grant of a patent required in support of this request? (Answer 'Yes' if:

- a) any applicant named in part 3 is not an inventor, or
- b) there is an inventor who is not named as an applicant, or
- c) any named applicant is a corporate body.

See note (d))

YES

THIS PAGE BLANK (USPTO)

BR8669UK

-1-

BLIND RIVETDescription

This invention relates to a blind rivet, and more especially to a blind rivet comprising a tubular shell and a mandrel extending through the shell.

One particular type of blind rivet is known as a multigrip rivet. A typical rivet of this type comprises an outer tubular shell having circumferential grooves spaced at intervals along its length, and a mandrel, having a radially enlarged head at one end, extending through the shell. The shell also usually has a radially extending head, which acts against one face of a workpiece. To set the rivet, the mandrel is pulled axially relative to the shell, while supporting the shell head, causing the enlarged head of the mandrel to urge against the opposed end face of the tubular shell. The circumferential grooves in the tubular shell are thereby caused to collapse in an axial direction, securing the workpiece components together, and finally the portions of the shell between the grooves are caused to expand radially to form a characteristic "cottage loaf" shape, or "cottage loaf set". The rivet thereby holds the workpiece components together and fills the hole in the workpiece through which it passes.

An example of a known multigrip rivet of the type described above, with circumferential grooves in the shell of the rivet, is found in EP-A-0677666 (Avdel Systems Ltd). The rivet of EP-A-0677666 comprises a tubular shell having a profiled exterior shape in which there are two axially spaced regions of reduced diameter. The reduced diameter regions are produced by deforming the shell inwardly, after the mandrel has been inserted into the shell, by means of a crimping operation (i.e. using radially inwardly moving dies) or a rolling operation.

US-A-5496140 describes another known blind rivet. It comprises an outer tubular shell, and a mandrel extending therethrough. In this case the outer shell is provided with one or two sets of recesses, the recesses of each set being arranged in a circumferential direction, spaced apart from each other, and essentially in the same axial position. The bottoms of the recesses form a secant with respect to the cylindrical circumference of the rivet shell.

BR8669UK

-2-

Blind rivets are often required to operate in oversize holes, and in a variety of grip thicknesses. Many of the known blind rivets can perform well in such conditions, the multigrip nature of the "cottage-loaf" shape deformation also being capable of adjusting to oversize holes, and the variety of grip thicknesses; this being achieved by appropriate positioning of the circumferential grooves or recesses in the shell. However, particularly when operating at the extremes of grip thickness or oversize holes, groove or recess depth is critical; if the grooves are too deep they will cause cracking or even fracture of the rivet body; but if the grooves are too shallow they will not provide sufficient resistance to prevent the mandrel head from pulling into or even through the mandrel body. These problems are exacerbated where the workpiece materials to be secured are soft (since the grooves need to be deeper in the rivet shell to prevent mandrel head pull-through), or friable (since the rivet is more likely to promote cracking or fracture when set, especially if the mandrel head pulls into the rivet body), and/or if the surface of the rivet is coated with a low friction material such as PTFE (polytetrafluoroethylene), this sometimes being done to provide enhanced abrasion or corrosion resistance.

Cracking or fracture of the rivet shell is obviously undesirable since it results in a failed or at least a significantly weakened connection. Passage of the mandrel head into, or through the rivet shell is also undesirable. If the mandrel head pulls into the rivet shell it causes radial expansion of the rivet shell between the grooves, resulting in splitting of the workpiece material. If the mandrel head can pull completely through the rivet shell the result is that the mandrel head shaft portion can protrude beyond the flange giving a potentially hazardous condition.

We have observed that while the prior art blind rivets function in oversize holes and in a variety of grip thicknesses, they do not perform reliably when the conditions are exacerbated by the use of soft or friable materials as the workpiece components, or when the rivet itself is provided with a low surface friction coating.

We have further discovered that performance of all rivets, and particularly the performance of rivets when set in soft or friable workpieces, and the performance of low friction coated rivets in these and other workpieces, can be significantly

BR8669UK

-3-

improved by providing a rivet shell having sets of radial indentations rather than the circumferential groove or secant shaped recesses of the prior art.

Accordingly a first aspect of the present invention provides a blind rivet comprising a tubular shell, and a mandrel extending through the shell, wherein:

(i) the shell has an outwardly extending flange at one end forming a rivet head, and comprises a first set of radial indentations arranged around the periphery of the shell at a first distance from the rivet head, and a second set of radial indentations arranged around the periphery of the shell at a second distance from the rivet head, the radial indentations having been formed by crimping into the rivet shell after it is positioned on the mandrel;

the end of the shell that is remote from the rivet head being the blind end of the rivet shell; and

(ii) the mandrel having a head at one end which abuts against the blind end of the shell, and a stem extending from the head, the stem having a point of weakness part way along its length.

Another aspect of the invention provides a method of securing two or more workpiece components together using a blind rivet according to the invention, the method comprising (a) positioning the blind rivet such that the mandrel of the blind rivet extends through substantially aligned apertures in the workpiece components, and (b) moving the mandrel axially relative to the shell such that the head of the mandrel abuts against the blind end of the shell, thereby causing the portions of the shell between the indentations to expand radially, and the radial indentations in the shell to collapse, whereby the workpiece components are held together and the apertures in the workpiece components are substantially filled.

The invention also provides a blind rivet according to the invention, positioned, and preferably set, within substantially aligned apertures of two or more workpiece components.

In preferred embodiments the blind rivet comprises a third set of indentations arranged around the periphery of the stem at a third distance from the rivet head. Where there are three sets of indentations the spacing between adjacent sets of

BR8669UK

-4-

indentations may be the same or different. Preferably each set of indentations is at least 2mm, more preferably at least 3mm from its adjacent set(s) of indentations. In preferred embodiments the first set of indentations are positioned 3 to 5mm from the shell flange, the second set of indentations are arranged at 6.5 to 8.5mm from the shell flange, and the third set of indentations, if present, are positioned 12.5 to 14.5mm from the shell flange

The formation of the radial indentations by crimping introduces work hardening around each crimped indentation. In a preferred embodiment the hardness of the rivet shell in the region of the indentations is at least 20%, preferably at least 30% higher than the hardness of the rivet shell at a point midway between adjacent sets of longitudinally spaced indentations.

The proposed rivet of the invention is particularly useful where used in securing soft and pliable materials, for example for securing various of such soft and pliable materials as used in the mud-flaps or stone guards in automobiles. Examples of typical softer materials that can advantageously be secured using the present invention include rubbers and elastomeric based plastics.

The proposed rivet of the invention is also particularly useful for securing friable plastics components, for instance friable plastics components used in speaker components in automotive applications. Examples of friable materials that can advantageously be secured using the present invention include polycarbonate and styrene based polymers.

Any suitable material may be used for the rivet shell and mandrel. The materials may be the same or different. As examples of suitable materials, there may be mentioned aluminium alloy rivet bodies and mandrels, stainless steel rivet bodies and mandrels and steel rivet bodies and mandrels, and differing combinations of these materials.

The radial indentations in the rivet shell are formed by a crimping process. The process is advantageously a radial crimping process. The nature of the radial crimping process of the invention allows indentation depth to be precisely controlled.

BR8669UK

-5-

Typically, the depth of an indentation, prior to the setting process, is in the range 0.20 to 0.26 mm, preferably about 0.23 mm, with a tolerance of less than 0.030mm, preferably less than 0.020mm, especially preferably less than 0.015mm. Usually, the depth of an indentation is 15 to 30%, preferably 20 to 25% of the thickness of the shell, prior to the setting process.

Typically, each set of radial indentations comprises between two and eight individual indentations. Preferably the shape of each indentation is generally circular with outwardly sloping edges, or angled flanks. This shape helps to force the rivet shell into tight assembly with the mandrel. It also introduces work hardening.

Embodiments of the invention will now be described, by way of example, with reference to the accompanying drawings, wherein:

Figure 1 is a longitudinal section through a blind rivet according to the invention, showing the hardness at various points a to g along the rivet;

Figures 2a to 2e are side elevational views of the blind rivet of Figure 1, at sequential stages in the setting process of the blind rivet;

Figures 3a and 3e are side sectional views through the blind rivet of Figures 2a and 2e respectively, taken along line Y-Y in each case;

Figure 4a is a cross-sectional view of the rivet of Figure 2a, taken along the line Z-Z of Figure 2a;

Referring to the drawings, Figure 1 shows a blind rivet 2 according to the invention. It comprises a hollow substantially cylindrical shell 4, and a solid substantially cylindrical mandrel 6 extending through the shell. The shell has an outwardly extending flange 8 at one end forming a rivet head. The mandrel 6 also has a head 10 at the end of the mandrel which abuts the blind end of the shell 4, remote from the head 8 of the shell. The shell 4 comprises a first set of four radial indentations 12 spaced at a distance of 4 mm from the shell flange 8, the said four indentations being uniformly spaced around the circumference of the shell 4, at the

BR8669UK

-6-

same longitudinal separation of 4mm from the flange 8 of the shell 4. The shell also comprises a second set of four radial indentations 14, similarly uniformly spaced around the circumference of the shell, at a distance of 7.5 mm from the shell flange 8, and a third set of four radial indentations 16, also similarly uniformly spaced around the circumference of the shell, this time at a distance of 13.7 mm from the shell flange 8. Each set of radial indentations is produced by a radially applied crimping process after assembly of the mandrel 6 within the shell 4. During the crimping process material is displaced, some radially inwardly to touch the mandrel 6, but most being displaced longitudinally. The control of the depth of the radial crimping can be accurately controlled by virtue of the mechanically controlled crimping dies that are preferably used. Such a radial crimping process gives more precise depth control than the roll method of grooving which has commonly been used in the manufacture of prior art multigrip rivets; roll methods of grooving being influenced by the hardness of the rivet body material and flexibility in the roll forming mechanisms. Similarly it is known that the rolling of stainless steel and PTFE coated aluminium is more difficult and the indentation by crimping method of the present invention can achieve a high degree of consistency and accuracy in these and other materials.

The blind rivet of Figure 1 was sectioned longitudinally along its centre line, prepared metallographically and a micro-hardness profile carried out. Table 1 below shows the hardness values of the shell 4 at points (a) to (g) as marked on Figure 1, being various distances from the shell flange 8. The term MHV 0.5 as used in Table 1 means Micro Hardness Value with a 500 g applied indent load.

BR8669UK

-7-

Table 1

Point	Distance from shell head 8 in mm	Hardness MHV 0.5	Comment
a	2.0	63.5	
b	4.0	86.6	Position of first set of radial indentations 12
c	5.8	65.2	
d	7.5	87.9	Position of second set of radial indentations 14
e	10.6	67.1	
f	13.7	90.2	Position of third set of radial indentations 16
g	19.9	65.7	

As can be seen from the table the hardness value of the shell 4 of the rivet is significantly higher in the vicinity of each of the sets of radial indentations than at positions away from the radial indentations. Indeed the hardness in the vicinity of the radial indentations is more than 30% higher than at positions longitudinally between the sets of radial indentations. The increased hardness is thought to be caused by local work hardening resulting from the radial crimping process.

Turning now to Figures 2a to 2e, and 3a and 3e, these show sequential steps in the blind rivet setting process. Figure 2a shows the position prior to setting. Figure 3a, which is a longitudinal section also prior to setting, shows that the mandrel 6 has a predetermined point of weakness provided by a thinned section 17. This is positioned longitudinally between crimped indentations 14 and 16 of the shell, prior to the setting process. Figure 2b show the initial stage of setting. The mandrel head 10 has started to enter the rivet shell 4 causing locally greater swelling in the region 18 between the mandrel head 10 and the first indentation 16 of shell 4. The work-hardened material in the vicinity of the radial indentations 12, 14, 16 tends to prevent axial collapse of the shell in the region of the indentations at this stage, so that the mandrel head movement causes material swelling in the regions between the indentations. In Figure 2c the head 10 has moved further into shell 4 and further swelling of region 18 of the shell has taken place. In Figure 2d the mandrel head 10 is almost fully entered into the rivet shell 4. Radial swelling of the shell 4 in region 20, between the shell flange

BR8669UK

-8-

3 and the first set of indentations 12, and in region 22, between the first and second sets of radial indentations 12 and 14 has now occurred in addition to further swelling of region 18. There is some swelling of region 24 between the second and third sets of indentations 14 and 16, but this is less than in the other regions 18, 20 and 22, because of the greater longitudinal separation between indentations 14 and 16 compared with the separation between head 10 and indentations 16, or between flange 8 and indentations 12, or between indentations 12 and 14. At this stage the indentations 12 14 and 16 have also started to collapse axially. The extent of the entry of the mandrel head 10 is limited by the position of the nearest set of crimped indentations from the mandrel head 10, i.e., the position of the third set of indentations 16. At the setting stage shown by Figure 2d, and also in the final set stage shown in Figure 2e, the mandrel head is not only fully entered but also locked into the rivet shell 4. This locking can be best seen from the longitudinal section of Figure 3b, where gripping contact is made between the shell 4 and the mandrel 6 in the region of each of the collapsed radial indentations 12, 14 and 16.

At this stage also, since the lower part 20 of the rivet shell 4 is expanding, it can fill an application hole in workpiece components, into which a rivet of this type is typically placed. Typical holes may have a diameter in the range 4 to 6 mm for example.

The amount of axial collapse is controlled by position of the lowest set of radial indentations 12 relative to the flange 8 on the shell 4. This gives the minimum plate setting, i.e., the minimum thickness of plates which the rivet can secure. Typically the plate thickness may be in the range 2mm to 13.5mm, depending on the length of the rivet.

Figure 2e shows the fully set position. At this point the crimped indentations 12, 14, 16 have completely axially collapsed. This is best seen by looking at sectional view of Figure 3b. The collapse of the indentations effectively stops any further longitudinal collapse of the rivet shell 4, and firmly locks the mandrel 6 in place. At this stage the lower end of the mandrel 6 breaks off at the break point 17, while the head 10 of the mandrel and the shank portion below the head are retained and locked within the rivet shell 4.

BR8669UK

-9-

Figure 4a is a cross-sectional view through the line Z-Z of Figure 2a. From this view the shape of each set of radial indentations 16 can be seen. Each indentation 16 is generally circular in shape with angled flanks 19. This shape helps to force the rivet shell 4 into a tight assembly with a mandrel 6. At the same time it introduces local work hardening, as described with reference to Figure 1 and Table 1. The outer radius of the shank of the shell of the rivet, indicated by reference R_1 in Figure 4a is 2.38mm, and the inner radius of the indentation (i.e. from the centre of the shell to the base of the indentation), indicated by reference R_2 in Figure 4a, is 2.15mm. The depth of the indentation is therefore 0.23mm.

BR8669UK

-10-

Claims

1. A blind rivet comprising a tubular shell, and a mandrel extending through the shell, wherein:
 - (i) the shell has an outwardly extending flange at one end forming a rivet head, and comprises a first set of radial indentations arranged around the periphery of the shell at a first distance from the rivet head, and a second set of radial indentations arranged around the periphery of the shell at a second distance from the rivet head, the radial indentations having been formed by crimping into the rivet shell after it is positioned around the mandrel; the end of the shell that is remote from the rivet head being the blind end of the rivet shell; and
 - (ii) the mandrel having a head at one end which abuts against the blind end of the shell, and a stem extending from the head, the stem having a point of weakness part way along its length.
2. A blind rivet according to claim 1, comprising a third set of indentations arranged around the periphery of the shell at a third distance from the rivet head.
3. A blind rivet according to claim 1 or 2, wherein the hardness of the rivet shell in the region of the indentations is at least 20%, preferably at least 30%, higher than the hardness of the rivet shell at a point mid way between adjacent longitudinally spaced indentations.
4. A blind rivet according to any preceding claim wherein the depth of one or more of the indentations, prior to the rivet setting process is at least 0.20mm.
5. A blind rivet according to any preceding claim wherein the depth of one or more of the indentations, prior to the rivet setting process is at least 20 to 25% of the thickness of the shell.

BR8669UK

-11-

6. A blind rivet according to any preceding claim, wherein each set of radial indentations comprises two to eight indentations.
7. A blind rivet according to any preceding claim, wherein the longitudinal spacing between adjacent sets of indentations is at least 2mm.
8. A blind rivet according to any preceding claim, wherein the radial indentations are circular with outwardly sloping edges.
9. A blind rivet according to any preceding claim, wherein the first and second set of indentations in the shell are respectively nearer to, and further from, the shell flange, than the point of weakness of the mandrel is to the shell flange.
10. A method of securing two or more workpiece components together using a blind rivet according to any preceding claim, the method comprising (a) positioning the blind rivet such that the mandrel of the blind rivet extends through substantially aligned apertures in the workpiece components, and (b) moving the mandrel axially relative to the shell such that the head of the mandrel abuts against the blind end of the shell, thereby causing the portions of the shell between the indentations to expand radially, and the radial indentations in the shell to collapse, whereby the workpiece components are held together and the apertures in the workpiece components are substantially filled.
11. A method according to claim 10 wherein the material of one or more of the workpiece components comprises a soft material, such as rubber or an elastomeric based plastic.
12. A method according to claim 10, wherein the material of one or more of the workpiece components comprises a friable material such as polycarbonate or a styrene based polymer.
13. A blind rivet according to any of claims 1 to 9, positioned, and preferably set, within substantially aligned apertures of two or more workpiece components.

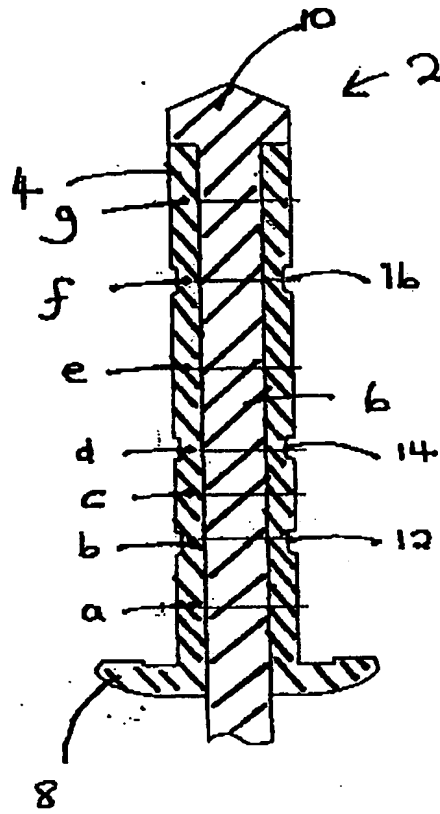
BR8669UK

-12-

14. A blind rivet and workpiece components according to claim 13, wherein one or more of the workpiece components comprises a soft material, such as rubber or an elastomeric based plastic.
15. A blind rivet and workpiece components according to claim 13, wherein one or more of the workpiece components comprises a friable material such as polycarbonate or a styrene based polymer.
16. A blind rivet, or a method of securing workpiece components together using a blind rivet, substantially as hereinbefore described with reference to the accompanying drawings.

1/3

Fig 1



THIS PAGE BLANK (USPTO)

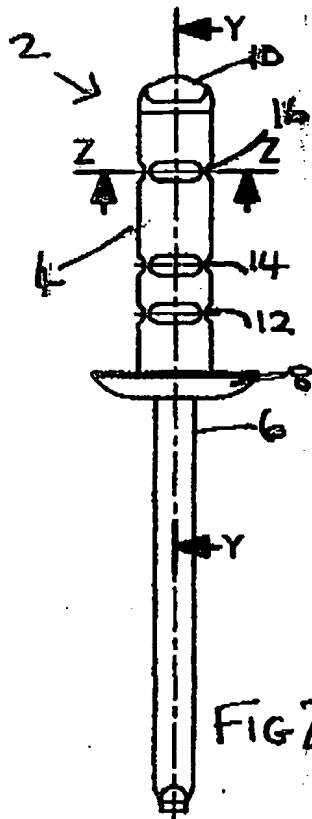


FIG 2a

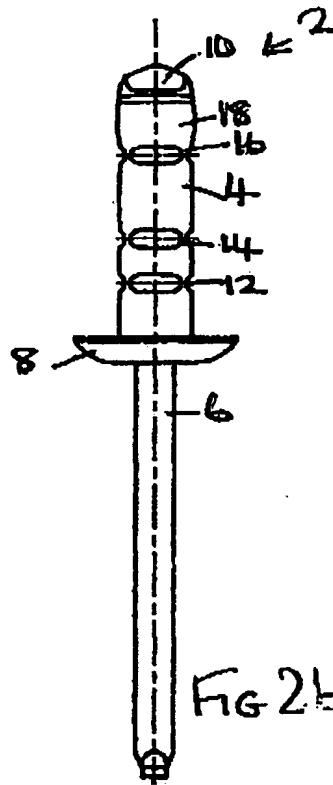


FIG 2b

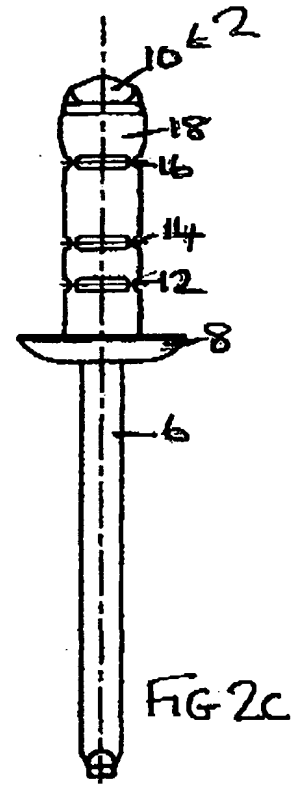


FIG 2c

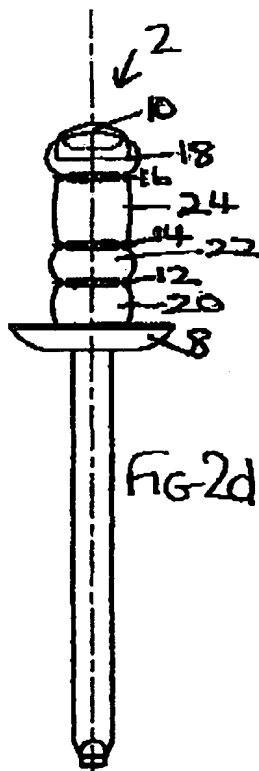


FIG 2d

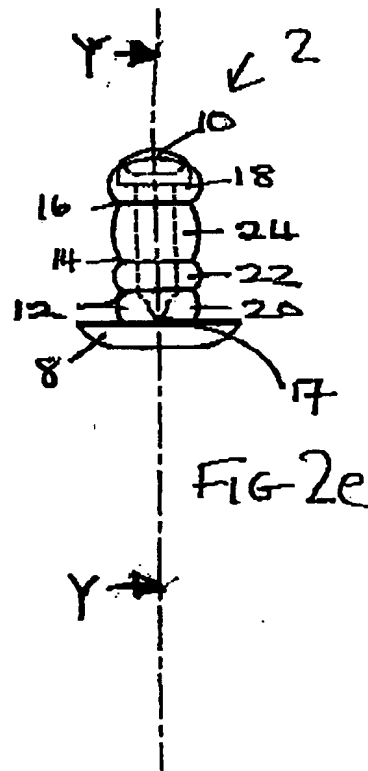


FIG 2e

THIS PAGE BLANK (USPTO)

3/3

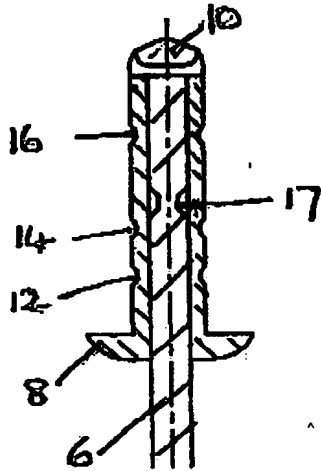


FIG 3a

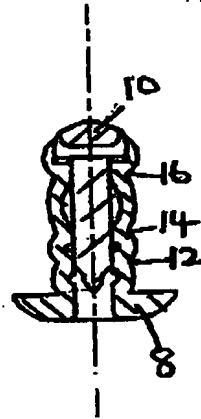


FIG 3 b

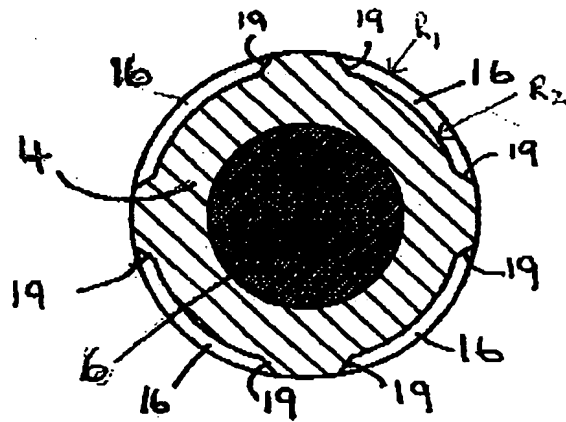


FIG 4a

THIS PAGE BLANK (USPTO)